

Zeros of a Polynomial in a given Circle

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Abstract: In this paper we discuss the problem of finding the number of zeros of a polynomial in a given circle when the coefficients of the polynomial or their real or imaginary parts are restricted to certain conditions. Our results in this direction generalize some well-known results in the theory of the distribution of zeros of polynomials.

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I. Introduction and Statement of Results

In the literature many results have been proved on the number of zeros of a polynomial in a given circle. In this direction Q. G. Mohammad [5] has proved the following result:

Theorem A: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n such that

$$a_n \geq a_{n-1} \geq \dots \geq a_1 \geq a_0 > 0,$$

Then the number of zeros of $P(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$1 + \frac{1}{\log 2} \log \frac{a_n}{a_0}.$$

K. K. Dewan [2] generalized Theorem A to polynomials with complex coefficients and proved the following results:

Theorem B: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n such that $\operatorname{Re}(a_j) = \alpha_j$, $\operatorname{Im}(a_j) = \beta_j$ and

$$\alpha_n \geq \alpha_{n-1} \geq \dots \geq \alpha_1 \geq \alpha_0 > 0,$$

Then the number of zeros of $P(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$1 + \frac{1}{\log 2} \log \frac{\alpha_n + \sum_{j=0}^n |\beta_j|}{|a_0|}.$$

Theorem C: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n with complex coefficients such that for some real α, β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$$

and

$$|a_n| \geq |a_{n-1}| \geq \dots \geq |a_1| \geq |a_0|.$$

Then the number of zeros of $P(z)$ in $|z| \leq \frac{1}{2}$ does not exceed

$$\frac{1}{\log 2} \log \frac{|a_n|(\cos \alpha + \sin \alpha + 1) + 2 \sin \alpha \sum_{j=0}^{n-1} |a_j|}{|a_0|}.$$

The above results were further generalized by researchers in various ways. M. H. Gulzar[4] proved the following results:

Theorem D: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n such that $\operatorname{Re}(a_j) = \alpha_j$, $\operatorname{Im}(a_j) = \beta_j$ and

$$\rho + \alpha_n \geq \alpha_{n-1} \geq \dots \alpha_{n-k+1} \geq \lambda \alpha_{n-k} \geq \alpha_{n-k-1} \dots \geq \alpha_1 \geq \tau \alpha_0,$$

for some real numbers $\lambda, \rho \geq 0, 0 < \tau \leq 1, 1 \leq k \leq n, \alpha_{n-k} \neq 0$.

If $\alpha_{n-k-1} > \alpha_{n-k}$, then the number of zeros of $P(z)$ in $|z| \leq \delta, 0 < \delta < 1$, does not exceed

$$\frac{1}{\log \frac{1}{\delta}} \log \frac{2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2 \sum_{j=0}^n |\beta_j|}{|a_0|}$$

and if $\alpha_{n-k} > \alpha_{n-k+1}$, then the number of zeros of $P(z)$ in $|z| \leq \delta, 0 < \delta < 1$, does not exceed

$$\frac{1}{\log \frac{1}{\delta}} \log \frac{2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2 \sum_{j=0}^n |\beta_j|}{|a_0|}$$

Theorem E: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n with complex coefficients such that for some real α, β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$$

and

$$|\rho + a_n| \geq |a_{n-1}| \geq \dots \geq |a_{n-k+1}| \geq \lambda |a_{n-k}| \geq |a_{n-k-1}| \geq \dots |a_1| \geq \tau |a_0|,$$

for some $\rho \geq 0, \lambda > 0, 1 \leq k \leq n, \alpha_{n-k} \neq 0, 0 < \tau \leq 1$.

If $|a_{n-k-1}| > |a_{n-k}|$ i.e. $\lambda > 1$, then the number of zeros of $P(z)$ in $|z| \leq \delta, 0 < \delta < 1$, does not exceed

$$\frac{1}{\log \frac{1}{\delta}} \log \frac{M_1}{|a_0|},$$

where

$$M_1 = (\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha - \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|,$$

and

if $|a_{n-k}| > |a_{n-k+1}|$ i.e. $\lambda < 1$, then the number of zeros of $P(z)$ in $|z| \leq \delta, 0 < \delta < 1$, does not exceed

$$\frac{1}{\log \frac{1}{\delta}} \log \frac{M_2}{|a_0|},$$

where

$$M_2 = (\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha + \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|.$$

In the present paper , we find bounds for the number of zeros of P(z) of the above results in a circle of any positive radius. More precisely, we prove the following results:

Theorem 1: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n such that $\text{Re}(a_j) = \alpha_j$, $\text{Im}(a_j) = \beta_j$ and

$$\rho + \alpha_n \geq \alpha_{n-1} \geq \dots \alpha_{n-k+1} \geq \lambda \alpha_{n-k} \geq \alpha_{n-k-1} \dots \geq \alpha_1 \geq \tau \alpha_0,$$

for some real numbers $\lambda, \rho \geq 0, 0 < \tau \leq 1, 1 \leq k \leq n, \alpha_{n-k} \neq 0$.

If $\alpha_{n-k-1} > \alpha_{n-k}$, then the number of zeros of P(z) in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$), does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1} [2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2 \sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R [2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2 \sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \leq 1$.

If $\alpha_{n-k} > \alpha_{n-k+1}$, then the number of zeros of P(z) in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1} [2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2 \sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R [2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2 \sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \leq 1$.

Remark 1: Taking $R=1$ and $c = \frac{1}{\delta}, 0 < \delta < 1$, Theorem 1 reduces to Theorem D.

If the coefficients a_j are real i.e. $\beta_j = 0, \forall j$, then we get the following result from Theorem 1:

Corollary 1: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n such that $\text{Re}(a_j) = \alpha_j$, $\text{Im}(a_j) = \beta_j$ and

$$\rho + a_n \geq a_{n-1} \geq \dots a_{n-k+1} \geq \lambda a_{n-k} \geq a_{n-k-1} \dots \geq a_1 \geq \tau a_0,$$

for some real numbers $\lambda, \rho \geq 0, 0 < \tau \leq 1, 1 \leq k \leq n, a_{n-k} \neq 0$.

If $a_{n-k-1} > a_{n-k}$, then the number of zeros of P(z) in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1} [2\rho + |a_n| + a_n + (\lambda - 1)a_{n-k} + |\lambda - 1| |a_{n-k}| - \tau(|a_0| + a_0) + 2|a_0|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |a_n| + a_n + (\lambda - 1)a_{n-k} + |\lambda - 1||a_{n-k}| - \tau(|a_0| + a_0) + |a_0|]}{|a_0|}$$

for $R \leq 1$.

If $a_{n-k} > a_{n-k+1}$, then the number of zeros of $P(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1}[2\rho + |a_n| + a_n + (1 - \lambda)a_{n-k} + |1 - \lambda||a_{n-k}| - \tau(|a_0| + a_0) + 2|a_0|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |a_n| + a_n + (1 - \lambda)a_{n-k} + |1 - \lambda||a_{n-k}| - \tau(|a_0| + a_0) + |a_0|]}{|a_0|}$$

for $R \leq 1$.

Applying Theorem 1 to the polynomial $-iP(z)$, we get the following result:

Theorem 2: Let $P(z) = \sum_{j=0}^n a_j z^j$ be a polynomial of degree n such that $\text{Re}(a_j) = \alpha_j$, $\text{Im}(a_j) = \beta_j$ and

$$\rho + \beta_n \geq \beta_{n-1} \geq \dots \geq \beta_{n-k+1} \geq \lambda \beta_{n-k} \geq \beta_{n-k-1} \dots \geq \beta_1 \geq \tau \beta_0,$$

for some real numbers $\lambda, \rho \geq 0, 0 < \tau \leq 1, 1 \leq k \leq n, \beta_{n-k} \neq 0$.

If $\beta_{n-k-1} > \beta_{n-k}$, then the number of zeros of $P(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1}[2\rho + |\beta_n| + \beta_n + (\lambda - 1)\beta_{n-k} + |\lambda - 1||\beta_{n-k}| - \tau(|\beta_0| + \beta_0) + 2|\beta_0| + 2\sum_{j=0}^n |\alpha_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |\beta_n| + \beta_n + (\lambda - 1)\beta_{n-k} + |\lambda - 1||\beta_{n-k}| - \tau(|\beta_0| + \beta_0) + |\beta_0| + |\alpha_0| + 2\sum_{j=0}^n |\alpha_j|]}{|a_0|}$$

for $R \leq 1$.

If $\beta_{n-k} > \beta_{n-k+1}$, then the number of zeros of $P(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1}[2\rho + |\beta_n| + \beta_n + (1 - \lambda)\beta_{n-k} + |1 - \lambda||\beta_{n-k}| - \tau(|\beta_0| + \beta_0) + 2|\beta_0| + 2\sum_{j=0}^n |\alpha_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |\beta_n| + \beta_n + (1 - \lambda)\beta_{n-k} + |1 - \lambda||\beta_{n-k}| - \tau(|\beta_0| + \beta_0) + |\beta_0| + |\alpha_0| + 2\sum_{j=0}^n |\alpha_j|]}{|a_0|}$$

for $R \leq 1$.

Theorem 3: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n with complex coefficients such that for some real α, β ,

$$|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}, j = 0, 1, 2, \dots, n$$

and

$$|\rho + a_n| \geq |a_{n-1}| \geq \dots \geq |a_{n-k+1}| \geq \lambda |a_{n-k}| \geq |a_{n-k-1}| \geq \dots |a_1| \geq \tau |a_0|,$$

for some $\rho \geq 0, \lambda > 0, 1 \leq k \leq n, \alpha_{n-k} \neq 0, 0 < \tau \leq 1$.

If $|a_{n-k-1}| > |a_{n-k}|$ i.e. $\lambda > 1$, then the number of zeros of $P(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$), does not exceed

$$\frac{1}{\log c} \log \frac{M_3}{|a_0|},$$

where

$$M_3 = R^{n+1} [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha - \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|], \text{ for } R \geq 1$$

and

$$M_3 = |a_0| + R [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha - \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|], \text{ for } R \leq 1.$$

If $|a_{n-k}| > |a_{n-k+1}|$ i.e. $\lambda < 1$, then the number of zeros of $P(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{M_4}{|a_0|},$$

Where

$$M_4 = R^{n+1} [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha + \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \text{ for } R \geq 1$$

and

$$M_4 = |a_0| + R [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha + \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \text{ for } R \leq 1.$$

Remark 2: Taking $R=1$ and $c = \frac{1}{\delta}, 0 < \delta < 1$, Theorem 1 reduces to Theorem E.

For different values of the parameters R, c, k, λ, τ , we get many other interesting results.

2. Lemmas

For the proofs of the above results we need the following results:

Lemma 1: If $f(z)$ is analytic in $|z| \leq R$, but not identically zero, $f(0) \neq 0$ and

$f(a_k) = 0, k = 1, 2, \dots, n$, then

$$\frac{1}{2\pi} \int_0^{2\pi} \log |f(Re^{i\theta})| d\theta - \log |f(0)| = \sum_{j=1}^n \log \frac{R}{|a_j|}.$$

Lemma 1 is the famous Jensen's theorem (see page 208 of [1]).

Lemma 2: If $f(z)$ is analytic and $|f(z)| \leq M(r)$ in $|z| \leq r$, then the number of zeros of $F(z)$ in $|z| \leq \frac{r}{c}$, $c > 1$ does not exceed

$$\frac{1}{\log c} \log \frac{M(r)}{|f(0)|}.$$

Lemma 2 is a simple deduction from Lemma 1.

Lemma 3: Let $P(z) = \sum_{j=0}^{\infty} a_j z^j$ be a polynomial of degree n with complex coefficients such that for some

real α, β , $|\arg a_j - \beta| \leq \alpha \leq \frac{\pi}{2}$, $0 \leq j \leq n$, and

$|a_j| \geq |a_{j-1}|$, $0 \leq j \leq n$, then any $t > 0$,

$$|ta_j - a_{j-1}| \leq (t|a_j| - |a_{j-1}|) \cos \alpha + (t|a_j| + |a_{j-1}|) \sin \alpha.$$

Lemma 3 is due to Govil and Rahman [3].

3.Proofs of Theorems

Proof of Theorem 1: Consider the polynomial

$$\begin{aligned} F(z) &= (1-z)P(z) \\ &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\ &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} \\ &\quad + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - a_0)z + a_0 \\ &= -(\alpha_n + i\beta_n)z^{n+1} + (\alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_{n-k+1} - \alpha_{n-k})z^{n-k+1} \\ &\quad + (\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots + (\alpha_1 - \tau\alpha_0)z \\ &\quad + (\tau - 1)\alpha_0 z + \alpha_0 + i \sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0 \end{aligned}$$

If $\alpha_{n-k-1} > \alpha_{n-k}$, then

$$\begin{aligned} F(z) &= -(\alpha_n + i\beta_n)z^{n+1} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_{n-k+1} - \alpha_{n-k})z^{n-k+1} \\ &\quad + (\lambda\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} - (\lambda - 1)\alpha_{n-k}z^{n-k} + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots \\ &\quad + (\alpha_1 - \tau\alpha_0)z + (\tau - 1)\alpha_0 z + \alpha_0 + i \sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0. \end{aligned}$$

For $|z| \leq R$, we have by using the hypothesis

$$\begin{aligned} |F(z)| &\leq |\alpha_n| R^{n+1} + |\beta_n| R^{n+1} + |\rho| R^n + |\rho + \alpha_n - \alpha_{n-1}| R^n + \dots + |\alpha_{n-k+1} - \alpha_{n-k}| R^{n-k+1} \\ &\quad + |\lambda\alpha_{n-k} - \alpha_{n-k-1}| R^{n-k} + |\lambda - 1| |\alpha_{n-k}| R^{n-k} \\ &\quad + |\alpha_{n-k-1} - \alpha_{n-k-2}| R^{n-k-1} + \dots + |\alpha_1 - \tau\alpha_0| R + (1 - \tau)|\alpha_0| R \\ &\quad + |\alpha_0| + |\beta_0| + \sum_{j=0}^n (|\beta_j| + |\beta_{j-1}|) R^j \\ &\leq R^{n+1} [2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1| |\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2 \sum_{j=0}^n |\beta_j|] \\ &\quad \text{for } R \geq 1 \end{aligned}$$

and

$$\leq |a_0| + R[2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2\sum_{j=1}^n |\beta_j|]$$

for $R \leq 1$.

Hence by Lemma 2, the number of zeros of $F(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$), does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1}[2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |\alpha_n| + \alpha_n + (\lambda - 1)\alpha_{n-k} + |\lambda - 1||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2\sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \leq 1$.

If $\alpha_{n-k} > \alpha_{n-k+1}$, then

$$\begin{aligned} F(z) = & -(\alpha_n + i\beta_n)z^{n+1} - \rho z^n + (\rho + \alpha_n - \alpha_{n-1})z^n + \dots + (\alpha_{n-k+1} - \lambda\alpha_{n-k})z^{n-k+1} \\ & + (\alpha_{n-k} - \alpha_{n-k-1})z^{n-k} - (1 - \lambda)\alpha_{n-k}z^{n-k+1} + (\alpha_{n-k-1} - \alpha_{n-k-2})z^{n-k-1} + \dots \\ & + (\alpha_1 - \alpha_0)z + \alpha_0 + i\sum_{j=1}^n (\beta_j - \beta_{j-1})z^j + i\beta_0. \end{aligned}$$

For $|z| \leq R$, we have by using the hypothesis

$$\begin{aligned} |F(z)| \leq & |\alpha_n|R^{n+1} + |\beta_n|R^{n+1} + |\rho|R^n + |\rho + \alpha_n - \alpha_{n-1}|R^n + \dots + |\alpha_{n-k+1} - \lambda\alpha_{n-k}|R^{n-k+1} \\ & + |\alpha_{n-k} - \alpha_{n-k-1}|R^{n-k} + |1 - \lambda||\alpha_{n-k}|R^{n-k} \\ & + |\alpha_{n-k-1} - \alpha_{n-k-2}|R^{n-k-1} + \dots + |\alpha_1 - \tau\alpha_0|R + (1 - \tau)|\alpha_0|R \\ & + |\alpha_0| + |\beta_0| + \sum_{j=0}^n (|\beta_j| + |\beta_{j-1}|)R^j \\ \leq & R^{n+1}[2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|] \end{aligned}$$

for $R \geq 1$

and

$$\leq |a_0| + R[2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2\sum_{j=1}^n |\beta_j|]$$

for $R \leq 1$.

Hence by Lemma 2, the number of zeros of $F(z)$ in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{R^{n+1}[2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + 2|\alpha_0| + 2\sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \geq 1$

and

$$\frac{1}{\log c} \log \frac{|a_0| + R[2\rho + |\alpha_n| + \alpha_n + (1 - \lambda)\alpha_{n-k} + |1 - \lambda||\alpha_{n-k}| - \tau(|\alpha_0| + \alpha_0) + |\alpha_0| + |\beta_0| + 2\sum_{j=0}^n |\beta_j|]}{|a_0|}$$

for $R \leq 1$.

That proves Theorem 1 completely.

Proof of Theorem 4: Consider the polynomial

$$\begin{aligned}
 F(z) &= (1-z)P(z) \\
 &= (1-z)(a_n z^n + a_{n-1} z^{n-1} + \dots + a_1 z + a_0) \\
 &= -a_n z^{n+1} + (a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} + (a_{n-k} - a_{n-k-1})z^{n-k} \\
 &\quad + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots + (a_1 - a_0)z + a_0
 \end{aligned}$$

If $|a_{n-k-1}| > |a_{n-k}|$, i.e. $\lambda > 1$, then

$$\begin{aligned}
 F(z) &= -a_n z^{n+1} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - a_{n-k})z^{n-k+1} \\
 &\quad + (\lambda a_{n-k} - a_{n-k-1})z^{n-k} - (\lambda - 1)a_{n-k} z^{n-k} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\
 &\quad + (a_1 - \tau a_0)z + (\tau - 1)a_0 z + a_0
 \end{aligned}$$

so that for $|z| \leq R$, we have by using Lemma 3,

$$\begin{aligned}
 |F(z)| &\leq |a_n|R^{n+1} + \rho R^n + |\rho + a_n - a_{n-1}|R^n + \dots + |a_{n-k+1} - a_{n-k}|R^{n-k+1} + |\lambda a_{n-k} - a_{n-k-1}|R^{n-k} \\
 &\quad + |\lambda - 1||a_{n-k}|R^{n-k} + |a_{n-k-1} - a_{n-k-2}|R^{n-k-1} + \dots + |a_1 - \tau a_0|R + (1 - \tau)|a_0|R + |a_0| \\
 &\leq R^{n+1} [|a_n| + \rho + (|\rho + a_n| - |a_{n-1}|) \cos \alpha + (|\rho + a_n| + |a_{n-1}|) \sin \alpha + \dots \\
 &\quad + (|a_{n-k+1}| - |a_{n-k}|) \cos \alpha + (|a_{n-k+1}| + |a_{n-k}|) \sin \alpha + (\lambda - 1)|a_{n-k}| \\
 &\quad + (\lambda |a_{n-k}| - |a_{n-k-1}|) \cos \alpha + (\lambda |a_{n-k}| + |a_{n-k-1}|) \sin \alpha \\
 &\quad + (|a_{n-k-1}| - |a_{n-k-2}|) \cos \alpha + (|a_{n-k-1}| + |a_{n-k-2}|) \sin \alpha + \dots \\
 &\quad + (|a_1| - \tau |a_0|) \cos \alpha + (|a_1| + \tau |a_0|) \sin \alpha + (1 - \tau)|a_0| + |a_0|] \\
 &\leq R^{n+1} [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha \\
 &\quad - \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|]
 \end{aligned}$$

for $R \geq 1$

and

$$\begin{aligned}
 &\leq |a_0| + R [(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha \\
 &\quad - \lambda \sin \alpha - \lambda + 1) - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + |a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \\
 &\text{for } R \leq 1
 \end{aligned}$$

Hence, by Lemma 2, the number of zeros of F(z) and hence P(z) in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{M_3}{|a_0|},$$

where

$$\begin{aligned}
 M_3 &= R^{n+1} [(|\rho| + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha - \lambda \sin \alpha - \lambda + 1) \\
 &\quad - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|], \text{ for } R \geq 1
 \end{aligned}$$

and

$$\begin{aligned}
 M_3 &= |a_0| + R [(|\rho| + |a_n|)(\cos \alpha + \sin \alpha + 1) - |a_{n-k}|(\cos \alpha - \sin \alpha - \lambda \cos \alpha - \lambda \sin \alpha - \lambda + 1) \\
 &\quad - \tau |a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|], \text{ for } R \leq 1.
 \end{aligned}$$

If $|a_{n-k}| > |a_{n-k+1}|$, i.e. $\lambda < 1$, then

$$F(z) = -a_n z^{n+1} - \rho z^n + (\rho + a_n - a_{n-1})z^n + \dots + (a_{n-k+1} - \lambda a_{n-k})z^{n-k+1} \\ + (a_{n-k} - a_{n-k-1})z^{n-k} - (1-\lambda)a_{n-k}z^{n-k+1} + (a_{n-k-1} - a_{n-k-2})z^{n-k-1} + \dots \\ + (a_1 - a_0)z + a_0$$

so that for $|z| \leq R$, we have by hypothesis and Lemma 3,

$$|F(z)| \leq |a_n|R^{n+1} + \rho R^n + |\rho + a_n - a_{n-1}|R^n + \dots + |a_{n-k+1} - \lambda a_{n-k}|R^{n-k+1} + |a_{n-k} - a_{n-k-1}|R^{n-k} \\ + |1-\lambda||a_{n-k}|R^{n-k+1} + |a_{n-k-1} - a_{n-k-2}|R^{n-k-1} + \dots \\ + |a_1 - a_0|R + (1-\tau)|a_0|R + |a_0| \\ \leq R^{n+1}[|a_n| + \rho + (|\rho + a_n| - |a_{n-1}|)\cos \alpha + (|\rho + a_n| + |a_{n-1}|)\sin \alpha + \dots \\ + (|a_{n-k+1}| - \lambda|a_{n-k}|)\cos \alpha + (|a_{n-k+1}| + \lambda|a_{n-k}|)\sin \alpha + |1-\lambda||a_{n-k}| \\ + (|a_{n-k}| - |a_{n-k-1}|)\cos \alpha + (|a_{n-k}| + |a_{n-k-1}|)\sin \alpha \\ + (|a_{n-k-1}| - |a_{n-k-2}|)\cos \alpha + (|a_{n-k-1}| + |a_{n-k-2}|)\sin \alpha + \dots \\ + (|a_1| - \tau|a_0|)\cos \alpha + (|a_1| + \tau|a_0|)\sin \alpha + (1-\tau)|a_0| + |a_0|] \\ \leq R^{n+1}[(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha \\ + \lambda \sin \alpha + 1 - \lambda) - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \\ \text{for } R \geq 1$$

and

$$\leq |a_0| + R[(\rho + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha \\ + \lambda \sin \alpha + 1 - \lambda) - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + |a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \\ \text{for } R \leq 1.$$

Hence the number of zeros of P(z) in $|z| \leq \frac{R}{c}$ ($R > 0, c > 1$) does not exceed

$$\frac{1}{\log c} \log \frac{M_4}{|a_0|},$$

Where

$$M_4 = R^{n+1}[(|\rho| + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha + \lambda \sin \alpha - \lambda + 1) \\ - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + 2|a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \text{ for } R \geq 1$$

and

$$M_4 = |a_0| + R[(|\rho| + |a_n|)(\cos \alpha + \sin \alpha + 1) + |a_{n-k}|(\cos \alpha + \sin \alpha - \lambda \cos \alpha + \lambda \sin \alpha - \lambda + 1) \\ - \tau|a_0|(\cos \alpha - \sin \alpha + 1) + |a_0| + 2 \sin \alpha \sum_{j=1, j \neq n-k}^{n-1} |a_j|] \text{ for } R \leq 1.$$

That completes the proof of Theorem 3.

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