Study of the structural characteristics and two-phase model in glass and liquid Al₂O₃ using molecular dynamics simulation

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Abstract:

The structural characteristics and two-phase model in glass and liquid Al_2O_3 under compression and temperature were successfully investigated by molecular dynamics simulation. The structural characteristics of samples are examined through AlO_x units, the bond angle and length distributions, order parameters, and the visualization technique. The result shows that the structural organization of glass and liquid Al_2O_3 is built mainly by AlO_x (x = 3, 4, 5, 6, 7) units that are linked to each other via common O atoms. With increasing pressure, the fraction of AlO_x units significantly varies, but partial bond angle and length distributions of AlO_x units are identical for all the different pressures. We suggest that the density of constructed samples may be expressed by a linear function of a fraction of AlO_x units. In addition, the distribution of AlO_x units in network structure is not uniform but tends to form clusters contained AlO_x units. During a moderately long time, the liquid comprises the two phases that consist of separate low-density, and high-density phases. The size of these phases significantly depends on compression. This allows us to suggest a simple correlation between the diffusion coefficients and the characteristics of two phases. Our work is expected to contribute a simple way to determine the diffusion coefficients as well as the density of oxide systems.

Keywords: Simulation, structure, cluster, phase, low-density, high-density

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I. Introduction

Aluminum Al_2O_3 is very important ceramic material that has many technological applications such as in high-temperature crucibles, cements, abrasives, ceramics, and get famous solid-phase structures [1-5]. Therefore, knowledge of the polyamorphism of liquid and glass Al_2O_3 is essential and in fact, their structural and dynamics features have been investigated in detail by a number of researchers, e.g. [6-11].

As we well known, liquid and glass Al_2O_3 is a network-forming, whose structure consists of a threedimensional network of oxygen-shared AlO₄ tetrahedrons. At ambient pressure, the Al-O bond length is close to 1.72 ± 0.02 Å. The average tetrahedral angle shows a maximum at $141^{\circ} \pm 5^{\circ}$. In addition, the strong directional bonds and high degree of intermediate range order are found to persist in the liquid and glass phase as reported in refs. [12-18]. Many experimental studies of Al_2O_3 glass confirmed that with increasing pressure, the liquid and glass undergoes a gradual transformation from four- to six-fold coordination, resulting in a network of AlO_6 octahedrons as showed in refs. [19-21].

So far, simulation techniques also provide details about the microstructural properties, as well as the phase transformation at atomic levels. The molecular dynamics (MD) and *ab*-initio simulation with applied effective potentials [7,16,18] reproduces well the structural factors obtained experimentally, but the bond angle distribution is rather broad to be compatible with experimental data. By using MD simulation, the polyamorphism and the existence of many glass and liquid states with different pressure have explained based on the two-phase model as reported in refs. [22,23]. In addition, the structure characteristics of glass (liquid) Al_2O_3 (GeO₂, H_2O , B_2O_3 , Al_2O_3 , TiO_2 , Y_2O_3 - Al_2O_3 etc.) is investigated through two glass (liquid) phases, namely low-density and high-density phases. The coexistence of two phases with the different fraction leads to the different densities of the same composition. However, the atomic arrangement in space regions between AlO_x units is poorly defined in these works.

About dynamics properties of oxide systems, as shown in ref. [24], the behavior of sell-diffusion coefficient is consistent with crossover from strong to fragile liquid behavior with increasing temperature and pressure. Both O and Si self-diffusion coefficients vary anomalously at 4000 K. Jiang et al. [25] revealed that in SiO-CaO-MgO-Al₂O₃ systems, the diffusion coefficient order of ions from large to small is Mg^{2+} , Ca^{2+} , Al^{3+} ,

 O^{2-} , Si⁴⁺. The total self-diffusion coefficient of all atoms showed an increasing trend, which reflects that the viscosity became lower. Guo et al. [26] shown that in the KF-NaF-AlF₃-Al₂O₃ system, the diffusion ability of various atoms is as follows Na > K > F > O > Al. With the increasing concentration of Al₂O₃, the ionic conductivity decreases but the viscosity increases gradually, which is consistent with the changing rule of ionic structure. Gao et al. [27] found that with increasing the CaO/Na₂O ratio in SiO₂-Al₂O₃-CaO-Na₂O system, the atomic diffusivity decreases. Feng et al. [28] showed that the order of the diffusion ability of ions from small to large is O, Si, Al, F, and Na. The addition of SiO₂ into Na₃AlF₆-Al₂O₃ molten salt causes an increase of the viscosity and a decrease of ionic conductivity. To clarify the dynamics heterogeneity (DH) a set of most mobile atoms are selected, and the clusters where those atoms reside are determined. In the case when DH occurs, the average size of clusters of most mobile atoms is larger than that from random statistics [29]. The multipoint correlation functions and Van Hove self-correlation function are also used to identify DH [30]. Although previous simulations provided evidences of DH, many aspects of this phenomenon remain unclear.

Therefore, in this paper, we focus on studying the structural characteristics and indicating the existence of two phases with high- and low-density of liquid and glass Al_2O_3 . The properties of Al_2O_3 are inferred from simulated models by the bond length, bond-angle distribution, coordination number, and the visualization technique.

II. Computational method

MD simulation has been done in the cubic box with periodic boundary condition for 3000 atoms (1200 Al and 1800 O atoms). We use the Born-Mayer type pair potential to construct the Al_2O_3 glass models. The form of the potential is

$$U_{ij}(r_{ij}) = \frac{q_i q_j}{r_{ij}} + B_{ij} \exp\left(-\frac{r_{ij}}{R_{ij}}\right)$$
(1)

The terms in Eq. (1) represent Coulomb and repulsion energy, respectively. Here r_{ij} is interatomic distance between i^{th} and j^{th} ions; q_i and q_j are the charges of i^{th} and j^{th} ions; B_{ij} and R_{ij} are parameters accounting the repulsion of the ions shells which are listed in the Table 1. The long-range Coulomb interactions are calculated with the standard Ewald simulation method. The equations of motion are integrated with Verlet algorithm, here we use a time step 0.4 fs.

	Table 1. The potential paramete	rs for Al ₂ O ₃ glass, a	s seen in refs. [6,7,18]
Pairs	B_{ij} (eV)	R_{ij} (Å ⁻¹)	q_i, q_j (eC)
Al-Al	0.0	0.0	$q_{\rm Al} = +3.0$
Al-O	1479.86	3.4483	$q_{\rm O} = -2.0$
0-0	1500	3.4483	-

This initial configuration is heated to 6000 K at ambient pressure and relaxed over 5×10^4 time steps. Then, the model is cooled to 3000, 1000 and final 300 K, at zero pressure during 3×10^4 time steps. Next, system is allowed to reach equilibrium for over 10^5 time steps. With this well-equilibrated model at the temperature of 300 and 3000 K, we prepared 12 models with pressure of 5, 10, 15, 20, 25, 30 GPa, corresponding with the temperature of 300 and 3000 K. After that the models has been relaxed in NVE ensemble (the constant volume and energy) for 5×10^4 time steps, to reach the equilibrium. The network structure is studied via basic AlO_x units. The cutoff distance r_{cutoff} used equals 2.4 Å which is chosen from first minimum of the PRDF $g_{Al-O}(r)$. In this work, the total XRSSF $S_N(r)$ is calculated from pair XRSSFs $S_{Al-Al}(r)$, $S_{Al-O}(r)$, $S_{O-O}(r)$. The total XRSSF is defined by

$$S_{N}(q) = \frac{\sum_{\alpha\beta}^{n} C_{\alpha} f_{\alpha} C_{\beta} f_{\beta} S_{\alpha\beta}(q)}{\left[\sum_{\alpha} C_{\alpha} f_{\alpha}\right]^{2}}$$
(2)

Where *q* is the scattering vector, *n* is the total number of the element types in the sample; C_{α} , C_{β} are the number fraction of species α , β ; f_{α} , f_{β} are the corresponding X-ray scattering length of Al and O atoms. We take $f_{Al} = 3.654 \times 10^{-14}$, $f_{O} = 2.249 \times 10^{-14}$ m as used in refs. [15,19]. The $S_{\alpha\beta}(r)$ term is the pair XRSSFs, it is examined based on the pair radial distribution function, $g_{\alpha\beta}(r)$ using the Fourier transformation

$$S_{\alpha\beta}(q) = 1 + \rho_{\beta} \int_{0}^{R} 4\pi r^{2} \left[g_{\alpha\beta}(\mathbf{r}) - 1 \right] \frac{\sin qr}{qr} \frac{\sin(\pi r/R)}{\pi r/R} dr \quad (3)$$

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where ρ_{β} represents the average number density of β atom, the cut-off length *R* is selected to be half the distance of the simulation box, and *r* is the inter-atomic length [12,15].

III. Results and discussion

Firstly, to evaluate the reliability of our model, we compare the total X-ray scattering structure factors (XRSSF) as obtained from the present simulation with the experimental and other simulation data reported by Lamparter et al. [31], Landron et al. [14], and Gutierrez et al. [15, 19], Vashishta [32] respectively. As shown in Figure 1, there is good agreement between the experimental and simulated XRSSFs $S_N(q)$ for Al_2O_3 glass. In the case of Al_2O_3 liquid, the agreement with the experiment for $q \ge 3.0 \text{ Å}^{-1}$ is very good in terms of the shape, position, and amplitude of the peaks. For low q, although the simulation results do not fit completely with experimental curves, it is clear that they resemble fairly well the 'sub-peak' which is present at $q \sim 2.1 \text{ Å}^{-1}$. In addition, Table 1 shows some structural characteristics of Al_2O_3 liquid and glass. In our constructed samples, good agreement is observed between the experimental and simulated data except for the coordination number Z_{O-O} which may relate to the choice of length cut-off for O-O bond. With increasing pressure, the bond length r_{Al-Al} and r_{O-O} decrease gradually, meanwhile the bond length r_{Al-O} , bond angles θ_{O-Al-O} , $\theta_{Al-O-Al}$ are slightly changed, respectively.

Figure 2 shows the total XRSSF of Al₂O₃ liquid and glasses at different pressures. As well known, the low *q*-parts are related to intermediate-range order (IRO), while the first (main) peak of the XRSSF distributed from nearest-neighbor Al-Al, Al-O, and O-O connections. In both cases, the first peak of the XRSSF is located at 2.1 Å⁻¹ (at ambient pressure), which shifts to the right and the height of the XRSSF decreases with increasing pressure, this peak reaches 2.4 Å⁻¹ (at the pressure of 30 GPa). For Al₂O₃ liquid, as seen, the second sub-peak appears at around 5 GPa, which relates to the phase transition point of the liquid. The main second peak of XRSSF is located at 4.7 Å⁻¹ (at ambient pressure), which shifts to the left with increasing pressure, it reaches 4.4Å⁻¹ (at the pressure of 30 GPa). Unlike Al₂O₃ liquid, the second sub-peak of Al₂O₃ glass appears at around 20 GPa, the main second of XRSSF is located at 4.7 Å⁻¹ which is not dependent on pressure. Therefore, it can be concluded that the phase transition point for glass at pressure is higher than that for liquid. Under compression, the IRO of liquid significantly changes, in contrast, for glass lightly changes.

Figure 3 presents the pressure dependence of fraction of AlO_x basic units. The fraction of AlO_x basic units is defined as $C_{AlOx} = n_{AlOx}/n_{Al}$; where n_{AlOx} , n_{Al} is the number of AlO_x units and Al atoms, respectively. In both cases, it could be noticed that the fraction of C_{AlO4} monotonously decreases with increasing pressure, while the fraction of C_{AlO6} increases, indicating the transformation from tetrahedral to octahedral network structure. Besides, for Al₂O₃ liquid, the curve for C_{AlO5} intersects with the one for C_{AlO4} and C_{AlO6} at around 12 and 22.5 GPa, respectively, meanwhile, for liquid at round 7.5 and 16 GPa. This means that the phase transition pressure, as well as the phase transition pressure range in liquid is lower (or smaller) than those in glass. This also predicts from the features of the total XRSSF under compression as indicated in Figure 2. Although the fractions of different type basic units vary strongly with increasing pressure, the topology of AlO_x units (x = 4, 5, 6) remain almost constant. According to Figure 2, the structure of Al₂O₃ (liquid or glass) comprises two phases: low-density phase (LD phase) and high-density phase (DH phase) [23,33,34-36]. The network structure of LD phase is built from AlO₄ units. The AlO₄ units connect together via OAl₂ linkages, forming a cluster of AlO₄ units (AlO₄ sub-network). The network structure of HD phase is built from AlO₅, AlO₆, and AlO₇ units, they are connected via OAl₂ and OAl₃ linkages, forming a cluster of AlO_x units (AlO_x sub-network, with x = 5, 6, 7).

The bond angle distribution (BAD) and bond length distribution considered separately for each type of basic unit are presented in Figures 4 and 5. It could be noticed that the BAD and bond length distribution for AIO_4 , AIO_5 , and AIO_6 is almost independent of pressure and temperature. Only small deviations in the height and position of BAD main peak are seen for AIO_5 unit which may be related to the phase transition process in liquids and glasses. This phenomenon is confirmed that the short-range order (SRO) of AI_2O_3 liquid and glass do not sensitive to pressure and temperature.

To make insights into the phase transition in Al_2O_3 liquid and glass, the order parameter is used, it forms as follows

$$\eta(P) = \frac{n_6 - n_4}{n_6 + n_4} \tag{4}$$

Where n_4 and n_6 are the total numbers of AlO₄ and AlO₆ units if $\eta(P) = -1$ there are no AlO₆ units in the system and the same if $\eta(P) = 1$ there are no AlO₄ units. Figure 6 shows the curve of the order parameter as a function of pressure. As seen, the curve of the order parameter for liquid and glass increases with increasing pressure, indicating the transformation from tetrahedral to octahedral network structure. It could be noticed that abrupt variation from the AlO₄ to the AlO₆ units has not been observed clearly, which may be due to the

existence of the AlO₅ units in the liquid and glass [37]. This implies that the first or second-order nature of the phase transition in liquid and glass is not found clearly [38,39].

With increasing pressure, there is a gradual transition from the LD phase to HD phase corresponding to the gradual structural transition from tetrahedral to octahedral network structure. In the density-range of 3.07-4.28 g/cm³, the structure of liquid Al₂O₃ comprises both phases: LD and HD phase. At a definite density, the linkages between AlO_x units consist of both OAl₂ and OAl₃. The AlO₄ units relate to LD phase, and the AlO₅, AlO₆, and AlO₇ units relate to HD phase. As a result, the density of samples can be expressed through fraction of AlO_x units, it has form as follows

$$\rho = C_4 \rho_{LD} + (C_5 + C_6 + C_7) \rho_{HD}$$
(5)

where, C_4 , C_5 , C_6 and C_7 are the fraction of AlO_x (x = 4, 5, 6, 7), respectively, ρ_{LD} and ρ_{HD} are the densities in LD and HD phases. The ρ_{LD} and ρ_{HD} are calculated by fitting function in Eq. (5) with molecular dynamics simulation data, these fitting parameters are $\rho_{LD} = 2.54 \pm 0.05$ and $\rho_{HD} = 4.44 \pm 0.05$ g/cm³. Therefore, the density of Al₂O₃ glass and liquid is dependent on the fraction of AlO_x units and the arrangement of AlO_x (x = 4, 5, 6, 7) units [40,41]. Figure 7 presents the pressure dependence of density of liquid Al₂O₃ in the pressure range of 0-30 GPa. As seen, the curve of density calculated by Eq. (5) is in good agreement with one of simulation. It confirms the polyamorphism of liquid Al₂O₃ and the power of the two phase model.

To clarify the polyamorphism and the structural phase transformation in the liquid Al_2O_3 , the network structure of samples has been visualized. The distribution of AlO_x units (LD and HD phases) in samples is depicted in Figure 8. It could be noticed that the AlO_4 units in the samples are not uniform but it tends toward cluster-forming with LD. On the other hand, the AlO_5 and AlO_6 tend toward cluster-forming with HD. The size of LD and HD clusters significantly depends on pressure. In the low-pressure region (0, 5 GPa), the structure of samples is mainly formed by the LD cluster. With increasing pressure, the size of LD clusters is narrowed; in contrast, the size of HD clusters is expanded. In the high-pressure region (20, 30 GPa), the structure of samples is mainly formed from the LD clusters. At definite pressure, the structure of liquid Al_2O_3 contains both phases, and the sample's density is detected by Eq. (5).

Finally, we focus on dynamics properties of Al_2O_3 liquid. As well know, for liquid, the diffusion coefficient of atoms can be determined via the Einstein equation as following:

$$D_{X} = \lim_{t \to \infty} \frac{\langle \Delta r_{X}(t)^{2} \rangle}{6t} = \frac{1}{N} \lim_{t \to \infty} \frac{\sum_{i=1}^{N} \left\langle \left[r_{Xi}(t) - r_{Xi}(t) \right]^{2} \right\rangle}{6t}$$
(6)

where *N* is the number total of atoms in a sample, $r_{Xi}(t)$ is the position of i^{th} X-atom at time *t*. To calculate the diffusion coefficients, each sample is relaxed over 5×10^5 steps and the mean square displacement $\Delta r_X(t)^2$ is examined. As observed in Figure 9, the diffusion coefficients of Al and O atoms decrease with increasing pressure. This is due to the bond Al–O in HD phase became much stronger than that in LD phase, resulting in a decrease in diffusion coefficients of Al and O in liquid Al₂O₃. According to Figure 9, there is the anomalous in the diffusion coefficient occurred at a pressure of 15 GPa for D_{Al} , and around 21 GPa for D_{O} , these values belonged the pressure range that the system is transforming from a tetrahedral to an octahedral network. This phenomenon may be related to breaking the Al-O links in the AlO₅ units. It resulted in the interaction between atoms in the AlO₅ units to weaken and molecular structure was more easily broken. Therefore, the structural transformation was also accompanied by anomalous diffusion. In addition, it is interesting to note that the basic units AlO_x are identical in different pressures as confirmed from Figures 4 and 5. As a result, the diffusion coefficients of X-atoms, D_X may be expressed through fraction of AlO_x units, it has form as follows

$$D_X = C_4 D_{LD} + (C_5 + C_6 + C_7) D_{HD}$$
(7)

where C_4 , C_5 , C_6 and C_7 are the fraction of AlO_x (x = 4, 5, 6, 7), respectively, D_{LD} and D_{HD} are the diffusion coefficients in LD and HD phases. The D_{LD} and D_{HD} coefficients are calculated by fitting function in Eq. (6) with molecular dynamics simulation data. These fitting parameters are $D_{HD} = 4.801 \times 10^{-6}$, 4.62×10^{-6} and D_{LD} $=1.797 \times 10^{-6}$, 3.034×10^{-6} cm²/s for Al and O atoms, respectively. Therefore, the coefficients of X-atoms in liquid may be calculated by Eq. (7), as the fraction of AlO_x units is determined.

IV. Conclusion

We successfully performed a simulation of glass and liquid Al_2O_3 with pressure ranging from 0 to 30 GPa, the temperature of 300 and 3000 K, which could provide experimental basic to develop ceramic materials with outstanding properties. We draw main conclusions as follows

i) The result shows that the structure origination of liquid and glass Al_2O_3 is built mainly by AlO_x (x = 3-7) units that are linked to each other via common O atoms. With increasing pressure, there is the transformation from tetrahedral to octahedral network structure, in which the phase transition pressure, as well as the phase transition pressure range in liquid, is lower (smaller) than ones in glass.

- ii) According to the analysis of fraction of AlO_x basic units and snapshot of the positions of atoms, the distribution of AlO_x units in liquid is not uniform but tends to form AlO_x -clusters. The AlO_4 -clusters form the LD-phase; in contrast, the AlO_5 -, AlO_6 -, and AlO_7 -clusters form the HD-phase. Under compression, the liquid Al_2O_3 consists of separate LD- and HD- phases. The size of separate phases significantly depends on compression.
- iii) With increasing pressure, the fraction of AlO_x units significantly varies, but partial bond angle and length distributions of AlO_x units are identical for all the different pressures. We found that a simple correlation between the density, diffusion coefficients and the characteristics of the two-phase model. As a result, our work supports a technique to determine the diffusion coefficients, as well as the density of oxide systems.

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Inglest peak of BAD.										
Models	0	5	10	15	20	25	30			
Al ₂ O ₃ glass (Temperature of 300 K)								Simu. [15]	Exp. [14]	
r _{Al-Al} (Å)	3.14	3.1	3.06	3.04	3.02	3.02	2.98	3.12±0.25	3.2±0.55	
r _{Al-0} (Å)	1.74	1.72	1.72	1.72	1.72	1.74	1.74	1.76 ± 0.1	2.8±0.58	
ro-0 (Å)	2.8	2.78	2.74	2.68	2.64	2.6	2.6	2.75 ± 0.2	1.8±0.21	
Z _{Al-Al}	7.92	8.15	8.86	9.78	10.32	11.16	11.37	8.26	8.26	
Zal-0	4.3	4.35	4.54	4.72	4.9	5.13	5.2	4.25	4.25	
Zo-AI	2.86	2.9	3.03	3.15	3.26	3.42	3.47	2.83	-	
Zo-0	11.8	12.18	13.15	14.11	14.83	15.6	15.86	9.47	9.47	
θ_{O-Al-O}	90°; 119°	90°; 119°	89°; 119°	89°; 118°	89°; 118°	88°; 118°	87°; 117°	90°; 120°		
θ_{Al-O-O}	105°	105°	105°	105°	105°	105°	105°	105°		
Al ₂ O ₃ liquid (Temperature of 3000 K) Simu. [31									Exp. [19]	
r _{Al-Al} (Å)	3.1	3.14	3.08	3.06	3.02	3.04	3	3.15±0.4	3.25	
r _{Al-0} (Å)	1.68	1.7	1.7	1.7	1.72	1.72	1.74	1.75	1.78	
ro-о (Å)	2.76	2.74	2.68	2.66	2.6	2.56	2.56	2.75	2.84	
Zal-al	7.9	9.15	9.91	10.51	11.3	11.61	11.89	8.24	-	
Zal-0	4.21	4.5	4.68	4.84	5.1	5.22	5.34	4.1	4.20	
Zo-AI	2.81	3	3.12	3.22	3.4	3.48	3.56	2.72	-	
Zo-0	11.77	13.25	14.06	14.69	15.37	15.74	16.03	8.84	-	
θ_{O-Al-O}	95°	95°	94°	93°	93°	91°	90°	95°	-	
$\theta_{Al-O-Al}$	1150	115°	114°	114°	113°	114°	113°	115°	-	

Table 1. The structural characteristics of constructed models at different pressures, experimental and simulation data: r_{X-Y} - the inter-atomic distance for X-Y pair; Z_{X-Y} - the average coordination number for X-Y; θ - position of the



FIG 1. The comparison between the X-ray experimental structure factors and those calculated from the simulation model for liquid (a) and glass (b) Al_2O_3 ; Red open symbol is experimental results of Lamparter et al., and Landron et al.; blue solid symbol is simulation results of Gutierrez et al.; the solid line gives the simulation results.



FIG. 2. The total X-ray scattering structure factors of Al2O3 liquid (a) and glasses (b) at different pressures; the black arrows denote the phase transition points; the solid and dotted line presents the shift distances of the peak position.



FIG. 3. The pressure dependence of fraction of basic units; A and B panel show the fraction of CAIO4, CAIO5, CAIO6 and CAIO7 for Al2O3 liquid and glass, respectively.



FIG. 4. The bond angle distribution in AlO4, AlO5 and AlO6 units for Al2O3 liquid (left) and glass (right) at pressures of 5, 10 and 30 GPa.



FIG. 5. The bond length distribution in AlO4, AlO5 and AlO6 units for Al2O3 liquid (left) and glass (right) at pressures of 5, 10 and 30 GPa.



FIG. 6. The pressure dependence of the order parameter, η for Al2O3 for Al2O3 liquid and glass



FIG. 7. The pressure dependence of density of liquid Al_2O_3



Study of the structural characteristics and two-phase model in glass and liquid Al₂O₃ using ...

FIG. 8. Snapshot of the positions of atoms in LD-phase (AlO₄ units) and HD-phase (AlO₅, AlO₆ units of Al₂O₃ model at 0 GPa (a), 5 GPa (b), 10 GPa (c), 15 GPa (d), 20 GPa (e) and 30 GPa (f). Region with yellow color is a cluster of AlO₄, black color is cluster of AlO₅, AlO₆ and AlO₇ units. The big sphere is O atom; the small sphere is Al atom.



FIG. 9. The pressure dependence of the diffusion coefficient in liquid Al_2O_3